

## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 10/662,503 09/15/2003 Michael J. Rocke 80107.079US1 9215 EXAMINER 03/02/2006 LeMoine Patent Services, PLLC ALEJANDRO, RAYMOND c/o PortfolioIP PAPER NUMBER ART UNIT P.O. Box 52050 Minneapolis, MN 55402 1745

DATE MAILED: 03/02/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

				5
		Application No.	Applicant(s)	<del></del>
Office Action Summary		10/662,503	ROCKE ET AL.	
		Examiner	Art Unit	
		Raymond Alejandro	1745	
Period fo	The MAILING DATE of this communication app or Reply	ears on the cover sheet with the	correspondence address	•
WHIC - Exte after - If NC - Failt Any	CHEVER IS LONGER, FROM THE MAILING DANSIONS of 17 CFR 1.13 FROM THE MAILING DANSIONS OF THE MAILING DANSIONS OF THE MAILING DANSIONS OF THE MAILING DANSIONS OF THE MAILING TH	ATE OF THIS COMMUNICATIO 36(a). In no event, however, may a reply be tinustrian will apply and will expire SIX (6) MONTHS from a cause the application to become ABANDONE	N. mely filed n the mailing date of this communicat ED (35 U.S.C. § 133).	
Status				
1)⊠	Responsive to communication(s) filed on 15 Se	eptember 2003.		
	This action is <b>FINAL</b> . 2b) This action is non-final.			
3)	) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is			
	closed in accordance with the practice under E	x parte Quayle, 1935 C.D. 11, 4	53 O.G. 213.	
Disposit	ion of Claims			
4)🖂	Claim(s) 1-33 is/are pending in the application.			
,	4a) Of the above claim(s) is/are withdrawn from consideration.			
5) Claim(s) is/are allowed.				
	6) Claim(s) is/are rejected.			
	Claim(s) is/are objected to.			
8)⊠	Claim(s) <u>1-33</u> are subject to restriction and/or e	election requirement.		
Applicat	ion Papers			
9)[	The specification is objected to by the Examine	r.		
10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.				
	Applicant may not request that any objection to the	drawing(s) be held in abeyance. Se	e 37 CFR 1.85(a).	
	Replacement drawing sheet(s) including the correcti			
11)	The oath or declaration is objected to by the Ex	aminer. Note the attached Office	Action or form PTO-152.	
Priority (	under 35 U.S.C. § 119			
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of:				
1. Certified copies of the priority documents have been received.				
	2. Certified copies of the priority documents	s have been received in Applicat	ion No	
	3. Copies of the certified copies of the prior	ity documents have been receive	ed in this National Stage	
	application from the International Bureau	· · · · · · · · · · · · · · · · · · ·		
* (	See the attached detailed Office action for a list of	of the certified copies not receive	∍d.	
<b>A</b> 44a - L	463			
Attachmen	ut(s) ce of References Cited (PTO-892)	4) Interview Summary	, (PTO 412)	
2) 🔲 Notic	ce of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)/Mail D	Pate	
	mation Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Pr No(s)/Mail Date	5) Notice of Informal F 6) Other:	Patent Application (PTO-152)	

Application/Control Number: 10/662,503

Art Unit: 1745

## **DETAILED ACTION**

Page 2

## Election/Restrictions

- 1. Restriction to one of the following inventions is required under 35 U.S.C. 121:
  - Claims 1-24, drawn to apparatuses comprising fuel cells, classified in class 429, subclass 26.
  - II. Claims 25-28, drawn to a method of preheating and cooling a fuel cell, classified in class 429, subclass 13.
- III. Claims 29-33, drawn to an electronic system, classified in class 708, subclass 105.

  The inventions are distinct, each from the other because of the following reasons:
- 2. Inventions II and I are related as process and apparatus for its practice. The inventions are distinct if it can be shown that either: (1) the process as claimed can be practiced by another and materially different apparatus or by hand, or (2) the apparatus as claimed can be used to practice another and materially different process. (MPEP § 806.05(e)). In this case, the apparatus as claimed can be used to practice another and materially different process, (as instantly claimed) for instance, the apparatus of invention I can be used without a heat generation device, thus, no pre-heating process is required. In addition, the process as claimed can be practiced by another and materially different apparatus or by hand, the apparatus of invention I without a heat generation device.
- 3. Inventions III and I are related as combination and subcombination. Inventions in this relationship are distinct if it can be shown that (1) the combination as claimed does not require the particulars of the subcombination as claimed for patentability, and (2) that the subcombination has utility by itself or in other combinations (MPEP § 806.05(c)). In the instant

Application/Control Number: 10/662,503 Page 3

Art Unit: 1745

case, the combination as claimed does not require the particulars of the subcombination as claimed because the combination of Group III (the electronic system) such as a computer can be directly operated by using other power sources (i.e. electricity or batteries). Furthermore, the combination of Group III can be effectively operated by the fuel cell apparatus with a heating generating device. The subcombination has separate utility such as providing a power generation system.

- 4. Inventions II and III are unrelated. Inventions are unrelated if it can be shown that they are not disclosed as capable of use together and they have different designs, modes of operation, and effects (MPEP § 802.01 and § 806.06). In the instant case, the different inventions have different designs, modes of operation, and effects, for example, the invention of Group II is a method for preheating a fuel cell per se while the invention of Group III represents an electronic system such as a computer.
- 5. Because these inventions are independent or distinct for the reasons given above and have acquired a separate status in the art in view of their different classification, restriction for examination purposes as indicated is proper.
- 6. Because these inventions are independent or distinct for the reasons given above and the inventions require a different field of search (see MPEP § 808.02), restriction for examination purposes as indicated is proper.

In addition, further restriction is required. Thus, applicant must elect one (1) of the above groups and one (1) of the species below.

7. This application contains claims directed to the following patentably distinct species:

Species 1: the apparatus without the heating generating device;

Application/Control Number: 10/662,503

Page 4

Art Unit: 1745

Species 2: the apparatus with the heating generating device.

The species are independent or distinct because they represent mutually exclusive embodiments and do not overlap in scope.

Applicant is required under 35 U.S.C. 121 to elect a single disclosed species for prosecution on the merits to which the claims shall be restricted if no generic claim is finally held to be allowable. Currently, no claim is generic.

Applicant is advised that a reply to this requirement must include an identification of the species that is elected consonant with this requirement, and a listing of all claims readable thereon, including any claims subsequently added. An argument that a claim is allowable or that all claims are generic is considered nonresponsive unless accompanied by an election.

Upon the allowance of a generic claim, applicant will be entitled to consideration of claims to additional species which depend from or otherwise require all the limitations of an allowable generic claim as provided by 37 CFR 1.141. If claims are added after the election, applicant must indicate which are readable upon the elected species. MPEP § 809.02(a).

8. Applicant is advised that the reply to this requirement to be complete must include (i) an election of a species or invention to be examined even though the requirement be traversed (37 CFR 1.143) and (ii) identification of the claims encompassing the elected invention.

The election of an invention or species may be made with or without traverse. To reserve a right to petition, the election must be made with traverse. If the reply does not distinctly and specifically point out supposed errors in the restriction requirement, the election shall be treated as an election without traverse.

Application/Control Number: 10/662,503 Page 5

Art Unit: 1745

Should applicant traverse on the ground that the inventions or species are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the inventions or species to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C.103(a) of the other invention.

9. Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Raymond Alejandro whose telephone number is (571) 272-1282. The examiner can normally be reached on Monday-Thursday (8:00 am - 6:30 pm).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Patrick J. Ryan can be reached on (571) 272-1292. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

RAYMOND ALEJANDRO PRIMARY EXAMINER Art Unit: 1745

Raymond Alejandro Primary Examiner Art Unit 1745

RAYMOND ALEJANDRO
PRIMARY EXAMINER